



GENERAL ASSEMBLY

COMMONWEALTH OF KENTUCKY

2014 REGULAR SESSION

SENATE BILL NO. 109

AS ENACTED

MONDAY, MARCH 31, 2014

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ALISON LUNDERGAN GRIMES
SECRETARY OF STATE
COMMONWEALTH OF KENTUCKY
BY R. Allen

1 AN ACT relating to the sale of tobacco related products to minors and declaring an
2 emergency.

3 *Be it enacted by the General Assembly of the Commonwealth of Kentucky:*

4 ➔Section 1. KRS 438.305 is amended to read as follows:

5 As used in KRS 438.305 to 438.340, unless the context requires otherwise:

6 (1) (a) "Alternative nicotine product" means a noncombustible product containing
7 nicotine that is intended for human consumption, whether chewed,
8 absorbed, dissolved, or ingested by any other means.

9 (b) "Alternative nicotine product" does not include any product regulated as a
10 drug or device by the United States Food and Drug Administration under
11 Chapter V of the Food, Drug, and Cosmetic Act;

12 (2) "Manufacturer" means any person who manufactures or produces tobacco products
13 within or without this Commonwealth;

14 (3)(2) "Nonresident wholesaler" means any person who purchases cigarettes or other
15 tobacco products directly from the manufacturer and maintains a permanent
16 location or locations outside this state at which Kentucky cigarette tax evidence is
17 attached or from which Kentucky cigarette tax is reported and paid;

18 (4)(3) "Proof of age" means a driver's license or other documentary or written
19 evidence that the individual is eighteen (18) years of age or older;

20 (5)(4) "Resident wholesaler" means any person who purchases at least seventy-five
21 percent (75%) of all cigarettes or other tobacco products purchased by that person
22 directly from the cigarette manufacturer on which the cigarette tax provided for in
23 KRS 138.130 to 138.205 is unpaid, and who maintains an established place of
24 business in this state at which the person attaches cigarette tax evidence or receives
25 untaxed cigarettes;

26 (6)(5) "Sample" means a tobacco product, alternative nicotine product, or vapor
27 product distributed to members of the general public at no cost;

~~(7)(6)~~ "Subjobber" means any person who purchases tobacco products, on which the Kentucky cigarette tax has been paid, from a wholesaler licensed pursuant to KRS 138.195, and makes them available to a retail establishment for resale;[-]

(8) (a) "Tobacco product" means any cigarette, cigar, snuff, smokeless tobacco product, smoking tobacco, chewing tobacco, and any kind or form of tobacco prepared in a manner suitable for chewing or smoking, or both, or any kind or form of tobacco that is suitable to be placed in a person's mouth.

(b) "Tobacco product" does not include any alternative nicotine product, vapor product, or product regulated as a drug or device by the United States Food and Drug Administration under Chapter V of the Food, Drug, and Cosmetic Act; and

(9) (a) "Vapor product" means any noncombustible product that employs a heating element, battery, power source, electronic circuit, or other electronic, chemical, or mechanical means, regardless of shape or size and including the component parts and accessories thereto, that can be used to deliver vaporized nicotine or other substances to users inhaling from the device. "Vapor product" includes but is not limited to any electronic cigarette, electronic cigar, electronic cigarillo, electronic pipe, or similar product or device and every variation thereof, regardless of whether marketed as such, and any vapor cartridge or other container of a liquid solution or other material that is intended to be used with or in an electronic cigarette, electronic cigar, electronic cigarillo, electronic pipe, or other similar product or device.

(b) "Vapor product" does not include any product regulated as a drug or device by the United States Food and Drug Administration under Chapter V of the Food, Drug and Cosmetic Act.

➔Section 2. KRS 438.310 is amended to read as follows:

(1) No person shall sell or cause to be sold any tobacco product, alternative nicotine product, or vapor product at retail to any person under the age of eighteen (18), or solicit any person under the age of eighteen (18) to purchase any tobacco product, alternative nicotine product, or vapor product at retail.

(2) Any person who sells tobacco products, alternative nicotine products, or vapor products at retail shall cause to be posted in a conspicuous place in his establishment a notice stating that it is illegal to sell tobacco products, alternative nicotine products, or vapor products to persons under age eighteen (18).

(3) Any person selling tobacco products, alternative nicotine products, or vapor products shall require proof of age from a prospective buyer or recipient if the person has reason to believe that the prospective buyer or recipient is under the age of eighteen (18).

(4) A person who violates subsection (1) or (2) of this section shall be subject to a fine of not less than one hundred dollars (\$100) nor more than five hundred dollars (\$500) for a first violation and a fine of not less than five hundred dollars (\$500) nor more than one thousand dollars (\$1,000) for any subsequent violation. The fine shall be administered by the Department of Alcoholic Beverage Control using a civil enforcement procedure.

➔Section 3. KRS 438.311 is amended to read as follows:

(1) Except for the provisions of KRS 438.330, it shall be unlawful for a person who has not attained the age of eighteen (18) years to purchase or accept receipt of or to attempt to purchase or accept receipt of a tobacco product, alternative nicotine product, or vapor product, or to present or offer to any person any purported proof of age which is false, fraudulent, or not actually his or her own, for the purpose of purchasing or receiving any tobacco product, alternative nicotine product, or vapor product. It shall not be unlawful for such a person to accept receipt of a tobacco

1 product, alternative nicotine product, or vapor product from a family member,
 2 except if the child has been committed to the custody of the state under KRS
 3 Chapters 600 to 645, or from an employer when required in the performance of the
 4 person's duties.

5 (2) Violation of this section shall be punishable by a fine of fifty dollars (\$50) and
 6 twenty (20) hours of community service work for a first offense within a one (1)
 7 year period, and a fine of two hundred dollars (\$200) and forty (40) hours of
 8 community service work for a second or subsequent offense within a one (1) year
 9 period.

10 (3) This offense shall be deemed a status offense and shall be under the jurisdiction of
 11 the juvenile session of the District Court.

12 (4) All peace officers with general law enforcement authority and employees of the
 13 Department of Alcoholic Beverage Control may issue a uniform citation, but not
 14 make an arrest or take a child into custody, for a violation of this section. If a child
 15 fails to appear in court in response to a uniform citation issued pursuant to the
 16 section, the court may compel the attendance of the defendant in the manner
 17 specified by law.

18 ➔Section 4. KRS 438.313 is amended to read as follows:

19 (1) No wholesaler, retailer, or manufacturer of cigarettes,~~[or]~~ tobacco products,
 20 alternative nicotine products, or vapor products may distribute cigarettes,~~[or]~~
 21 tobacco products, alternative nicotine products, or vapor products, including
 22 samples thereof, free of charge or otherwise, to any person under the age of eighteen
 23 (18).

24 (2) Any person who distributes cigarettes,~~[or]~~ tobacco products, alternative nicotine
 25 products, or vapor products, including samples thereof, free of charge or otherwise
 26 shall require proof of age from a prospective buyer or recipient if the person has
 27 reason to believe that the prospective purchaser or recipient is under the age of

1 eighteen (18).

2 (3) Any person who violates the provisions of this section shall be fined not less than
3 one thousand dollars (\$1,000) nor more than two thousand five hundred dollars
4 (\$2,500) for each offense. The fine shall be administered by the Department of
5 Alcoholic Beverage Control using a civil enforcement procedure for persons
6 eighteen (18) years of age or older. For persons under the age of eighteen (18) years,
7 the offense shall be deemed a status offense and shall be under the jurisdiction of
8 the juvenile session of the District Court.

9 (4) All peace officers with general law enforcement authority and employees of the
10 Department of Alcoholic Beverage Control may issue a uniform citation, but may
11 not make an arrest, or take a child into custody, for a violation of this section. If a
12 child fails to appear in court in response to a uniform citation issued pursuant to this
13 section, the court may compel the attendance of the defendant in the manner
14 specified by law.

15 ➔Section 5. KRS 438.315 is amended to read as follows:

16 (1) The sale of tobacco products, alternative nicotine products, or vapor products
17 dispensed through a vending machine is prohibited to any person under the age of
18 eighteen (18) years.

19 (2) The purchase of tobacco products, alternative nicotine products, or vapor products
20 dispensed through a vending machine is prohibited to any person under the age of
21 eighteen (18) years.

22 (3) Except for vending machines located in factories or vending machines located in
23 bars or taverns to which minors are not permitted access, ~~[beginning one (1) year~~
24 ~~after July 15, 1994,]~~ any vending machine from which tobacco products, alternative
25 nicotine products, or vapor products are dispensed shall be located in the line of
26 sight of the cashier for the retail establishment.

27 (4) Any owner of a retail establishment violating this section shall be subject to a fine

1 of not less than one hundred dollars (\$100) nor more than five hundred dollars
 2 (\$500) for each violation. The fine shall be administered by the Department of
 3 Alcoholic Beverage Control using a civil enforcement procedure for persons
 4 eighteen (18) years of age or older. For persons under the age of eighteen (18) years,
 5 the offense shall be deemed a status offense and shall be under the jurisdiction of
 6 the juvenile session of the District Court.

7 (5) All peace officers with general law enforcement authority and employees of the
 8 Department of Alcoholic Beverage Control may issue a uniform citation, but may
 9 not make an arrest, or take a child into custody, for a violation of this section. If a
 10 child fails to appear in court in response to a uniform citation issued pursuant to this
 11 section, the court may compel the attendance of the defendant in the manner
 12 specified by law.

13 ➔Section 6. KRS 438.325 is amended to read as follows:

14 (1) Each owner of a retail establishment selling or distributing tobacco products,
 15 alternative nicotine products, or vapor products shall notify each individual
 16 employed in the retail establishment as a retail sales clerk that the sale of tobacco
 17 products, alternative nicotine products, or vapor products to any person under the
 18 age of eighteen (18) years and the purchase of tobacco products, alternative
 19 nicotine products, or vapor products by any person under the age of eighteen (18)
 20 years ~~is~~are prohibited.

21 (2) Each owner of a retail establishment selling or distributing tobacco products,
 22 alternative nicotine products, or vapor products shall notify each individual
 23 employed in the retail establishment as a retail sales clerk that proof of age is
 24 required from a prospective buyer or recipient if the person has reason to believe
 25 that the prospective purchaser or recipient is under the age of eighteen (18).

26 (3) The notice to employees that is required in subsection (1) of this section shall be
 27 provided before the person commences work as a retail sales clerk, or, in the case of

1 a person employed as a retail sales clerk on the effective date of this Act ~~[July 15,~~
 2 ~~1994]~~, within thirty (30) days of that date. The employee shall signify receipt of the
 3 notice required by this section by signing a form that states as follows:

4 "I understand that under the law of the Commonwealth of Kentucky it is illegal to
 5 sell or distribute tobacco products, alternative nicotine products, or vapor products
 6 to persons under the age of eighteen (18) years and that it is illegal for persons
 7 under the age of eighteen (18) years to purchase tobacco products, alternative
 8 nicotine products, or vapor products."

9 (4) The owner of the retail establishment shall maintain the signed notice that is
 10 required pursuant to subsection (3) of this section in a place and in a manner so as
 11 to be easily accessible to any employee of the Department of Alcoholic Beverage
 12 Control or the Department of Agriculture conducting an inspection of the retail
 13 establishment for the purpose of monitoring compliance in limiting the sale or
 14 distribution of tobacco products, alternative nicotine products, or vapor products
 15 to persons under the age of eighteen (18) as provided in KRS 438.305 to 438.340.

16 (5) Any owner of the retail establishment violating subsections (1) to (4) of this section
 17 shall be subject to a fine of not less than one hundred dollars (\$100) nor more than
 18 five hundred dollars (\$500) for each violation. The fine shall be administered by the
 19 Department of Alcoholic Beverage Control in a civil enforcement procedure.

20 ➔ Section 7. KRS 438.330 is amended to read as follows:

21 (1) The Department of Alcoholic Beverage Control and the Department of Agriculture
 22 shall carry out annually-conducted random, unannounced inspections of retail
 23 establishments where tobacco products, alternative nicotine products, or vapor
 24 products are sold or distributed for the purpose of enforcing the provisions of KRS
 25 438.305 to 438.340. The inspections shall be conducted to the extent necessary to
 26 assure that the Commonwealth remains in compliance with Public Law 102-321
 27 and applicable federal regulations. The Department of Alcoholic Beverage Control

1 and the Department of Agriculture shall also ensure that targeted inspections are
2 conducted at those retail establishments where, and at those times when, persons
3 under the age of eighteen (18) years are most likely to purchase tobacco products,
4 alternative nicotine products, or vapor products. Persons under the age of eighteen
5 (18) years may be used to test compliance with the provisions of KRS 438.305 to
6 438.340 only if the testing is conducted under the direct supervision of the
7 Department of Alcoholic Beverage Control, sheriff, or chief of police, or their
8 employees, and written parental consent has been obtained. The Department of
9 Alcoholic Beverage Control shall prepare annually, for submission by the Governor
10 to the Secretary of the United States Department of Health and Human Services, the
11 report required by Section 1926 of Subpart 1 of Part B of Title XIX of the Federal
12 Public Health Service Act.

13 (2) The Department of Alcoholic Beverage Control shall develop and implement the
14 survey sampling methodologies to carry out the inspections as described in this
15 section.

16 ➔Section 8. KRS 438.335 is amended to read as follows:

17 The Department of Agriculture shall carry out the provisions of KRS 438.305 to 438.340
18 as they relate to educating the public and sellers of tobacco products, alternative nicotine
19 products, or vapor products about provisions and penalties of KRS 438.305 to 438.340.

20 The Department of Agriculture shall be entitled to the revenue produced by one-twentieth
21 of one cent (\$0.0005) of the three-cent (\$0.03) per pack revenue collected by the
22 Department of Revenue from the state excise tax on the sale of cigarettes as imposed by
23 KRS 138.140 and to keep fifty percent (50%) of any fines collected under KRS 438.305
24 to 438.340 to offset the costs of these education efforts.

25 ➔Section 9. KRS 438.350 is amended to read as follows:


26 (1) No person under the age of eighteen (18) shall possess or use tobacco products,
27 alternative nicotine products, or vapor products.

1 (2) Any tobacco product, alternative nicotine product, or vapor product found in the
2 possession of a person under the age of eighteen (18) and in plain view of the law
3 enforcement officer shall be confiscated by the law enforcement officer making the
4 charge.

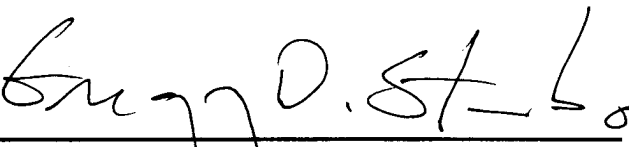
5 (3) This section shall not apply to persons exempted as provided by KRS 438.311 and
6 438.330.

7 (4) The terms "alternative nicotine product," "tobacco product," and "vapor
8 product," shall have the same meaning as in Section 1 of this Act.

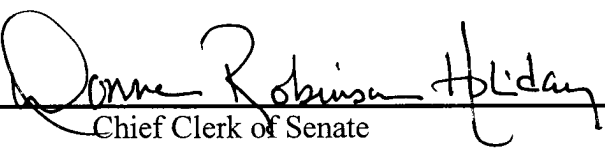
9 ➔Section 10. Whereas it is incumbent upon the Commonwealth of Kentucky to
10 protect the health, safety, and welfare of the young people living within its borders, an
11 emergency is declared to exist, and this Act takes effect upon its passage and approval by
12 the Governor or upon its otherwise becoming a law.



President of Senate



Speaker, House of Representatives

Attest: 

Chief Clerk of Senate

Approved 

Governor

Date 4/10/14